SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Washington, D.C. 20549

OMB APPROVAL

- 1		
	OMB Number:	3235-0287
	Estimated average bu	urden
	hours per response:	0.5

to Section 16. I	if no longer subject Form 4 or Form 5 y continue. See	t STATEN	Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	_	OMB Number: Estimated average hours per respon	-
			or Section 30(h) of the Investment Company Act of 1940			
1. Name and Addr	1 0		2. Issuer Name and Ticker or Trading Symbol <u>Cardiff Oncology, Inc.</u> [CRDF]	5. Relationship of (Check all applical X Director	ole)	10% Owner
(Last) C/O CARDIFF 11055 FLINTK			3. Date of Earliest Transaction (Month/Day/Year) 05/14/2020	Officer (g below)		Other (specify below)
)E	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joi Line)	nt/Group Filing (C	heck Applicable
(Street)				í í	d by One Reportin	g Person
SAN DIEGO	CA	92121		Form file Person	d by More than Or	ne Reporting
(City)	(State)	(Zip)				
		Table I - Non-De	privative Securities Acquired, Disposed of, or Ben	neficially Owned		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities Beneficially	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock	05/14/2020		Р		6,993	Α	\$1.43	9,260	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

/s/ John Brancaccio

05/18/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.