SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden	
hours per response:	0.5

1. Name and Address of Reporting Ferson			2. Issuer Name and Ticker or Trading Symbol <u>Trovagene, Inc.</u> [TROV]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	<u>ey 5</u>			X	Director	10% Owner			
C/O TROVAGENE, INC.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/02/2017		Officer (give title below)	Other (specify below)			
11055 FLINTKO	TE AVENUE		4. If Amendment, Date of Original Filed (Month/Day/Year) 01/04/2017	6. Individual or Joint/Group Filing (Check Applicabl Line)					
(Street)				X	Form filed by One R	eporting Person			
SAN DIEGO	CA	92121			Form filed by More t Person	han One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	e, Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	01/02/2017		A		16,667		(2)	(2)	Common Stock	16,667	\$0	16,667	D	
Restricted Stock Units	(1)	01/02/2017		A		5,943		(3)	(3)	Common Stock	5,943	\$0	22,610	D	

Explanation of Responses:

1. Each restricted stock unit is the economic equivalent of one share of Trovagene, Inc. common stock.

2. The RSUs shall vest on January 2, 2018.

3. The RSUs shall vest on September 2, 2017

/s/ Rodney Markin

01/05/2017

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.