SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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\Box	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See	STATEME
<u> </u>	Instruction 1(b).	File

ENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1								
1. Name and Address of Reporting Person* <u>PACE GARY W</u>		n*	2. Issuer Name and Ticker or Trading Symbol Cardiff Oncology, Inc. [CRDF]		5. Relationship of Reporting Person(s) to Is: (Check all applicable) X Director 10% Ow			
(Last) (First) (Middle) C/O CARDIFF ONCOLOGY, INC. 11055 FLINTKOTE AVENUE		,	3. Date of Earliest Transaction (Month/Day/Year) 11/10/2023		Officer (give title below)	Other (specify below)		
		2.	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	,			
(Street) SAN DIEGO CA	A	92121			Form filed by More than Person	One Reporting		
·			Rule 10b5-1(c) Transaction Indication					
(City) (Si	tate)	(Zip)	Check this box to indicate that a transaction was made pursuant t satisfy the affirmative defense conditions of Rule 10b5-1(c). See I			that is intended to		
	Tab	le I - Non-Deriva	tive Securities Acquired, Disposed of, or Benef	icially	Owned			

				, =	peece e.,	•• =•	,			
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	11/10/2023		Р		22,950	A	\$0.98 ⁽¹⁾	667,761	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$0.95 to \$1.01. Full information regarding the number of shares purchased at each separate price can be furnished to the SEC staff upon request.

Remarks:

<u>/s/ Gary Pace</u>

Date

11/14/2023

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.